

03/14/00



JCS20 U.S. PTO

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Please type a plus sign (+) inside this box ☐Approved for use through 9/30/00, OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control numberUTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 310265.90261

First Inventor or Application Identifier Paul C. Tang

Title Electronic Medical Records System with Active Clinical Guidelines

Express Mail Label No. EJ187995093US

APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

- 1 ☒ Fee transmittal Form
(Submit an original and a duplicate for fee processing)
- 2 ☒ Specification [Total 13]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed Sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- 3 ☒ Drawing(s) (35 USC 113) [Total Sheets 1]
4. Oath or Declaration [Total Pages 3]
- a. ☒ Newly unexecuted (original or copy)
- b. ☐ Copy from prior Application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
- [Note Box 5 below]
- i. ☐ DELETION OF INVENTOR(S)
Signed Statement attached deleting
inventor(s) named in prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).
- 5 ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application from
which a copy of the oath or declaration is supplied
under Box 4b, is considered as being part of the
disclosure of the accompanying application and is
hereby incorporated by reference herein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- ☐ Computer readable Copy
- ☐ Paper Copy (identical to computer copy)
- ☐ Statement Verifying identity of above

ACCOMPANYING APPLICATION PARTS

- 8 ☐ Assignment Papers (cover sheet & documents)
- 9 ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(where there is an assignee)
- 10 ☐ English Translation Document (if applicable)
- 11 ☐ Information Disclosure
Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations
- 12 ☐ Preliminary Amendment
- 13 ☒ Return receipt postcard (MPEP 503)
(Should be specifically itemized)
- 14 ☐ *Small Entity Statement filed in prior application
Statement(s) ☐ Status still proper and desired
- 15 ☐ Certified copy of priority Document(s)
(if foreign priority is claimed)
- 16 ☐ Other:

* A new statement is required to pay small entity fees, except where
one has been filed in a prior application and is being relied upon

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no. /

Prior application information: Examiner:

Group/Art Unit:

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Labelor ☒ Correspondence address

(Insert Customer No. or Attach bar code label)

| | | | | | |
|---------|---------------------|-----------|--------------|----------|--------------|
| NAME | Nicholas J. Seay | | | | |
| | Quarles & Brady LLP | | | | |
| ADDRESS | P O Box 2113 | | | | |
| | | | | | |
| CITY | Madison | STATE | WI | ZIP CODE | 53701-2113 |
| COUNTRY | US | TELEPHONE | 608/251-5000 | FAX | 608/251-9166 |

| | | | |
|-------------------|------------------|-----------------------------------|----------------|
| Name (Print/Type) | Nicholas J. Seay | Registration No. (Attorney/Agent) | 27,386 |
| Signature | | Date | March 14, 2000 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. QBMAD/213348



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Chicago (Quarles & Brady LLC)
Milwaukee
Naples
Phoenix
West Palm Beach

March 14, 2000

Assistant Commissioner of Patents
Box Patent Application
Washington DC 20231

Re: Filing New Patent Application

Dear Sir:

Enclosed for filing please find a new patent application entitled:

ELECTRONIC MEDICAL RECORDS SYSTEM
WITH ACTIVE CLINICAL GUIDELINES

by Paul C. Tang
Charles Y. Young

The undersigned hereby certifies that this document is being deposited with the United States Postal Service today, March 14, 2000, by the "Express Mail" service, utilizing Express Mail label number EJ187995093US, addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Please indicate receipt of this application by returning the attached postcard with the official Patent and Trademark Office receipt and serial number stamped thereon.

Respectfully submitted,

Enclosures
QBMAD213347

FEE TRANSMITTAL

Patent fees are subject to annual revision.
 Small Entity payments must be supported by a small entity statement
 otherwise large entity fees must be paid, See Forms PTO/SB/09-12
 See 37 C.F.R. §§1.27 and 1.28

TOTAL AMOUNT OF

\$ 690.00

Complete if Known

Application Number

Filing Date

First Named Inventor

Paul C. Tang

Group Art Unit

Examiner Name

Attorney Docket Number

310265.90261

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

17-0055

Deposit Account Name

Quarles & Brady LLP

☒

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐

Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)

2. ☐ Payment Enclosed:

☐

Check

☐

Money Order

☐

Other

FEE CALCULATION (fees effective 11/10/98)

1. FILING FEE

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|-----------------------|-----------------------|------------------------|----------|
| 101 | 690 | 201 | 345 | Utility filing fee | 690.00 |
| 106 | 310 | 206 | 155 | Design filing fee | |
| 107 | 480 | 207 | 240 | Plant filing fee | |
| 108 | 690 | 208 | 345 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |

SUBTOTAL (1) (\$ 690.00)

2. CLAIMS

| Total Claims | Extra | Fee from below | Fee Paid |
|---------------------------|----------|----------------|----------|
| 13 | -20**= 0 | X | |
| Independent Claims | 3 | -3**= 0 | X |
| Multiple Dependent Claims | | | |

** or number previously paid, if greater, For reissues see below

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description |
|-----------------------|-----------------------|-----------------------|-----------------------|---|
| 103 | 18 | 203 | 09 | Claims in excess of 20 |
| 102 | 78 | 202 | 39 | Independent claims in excess of 3 |
| 104 | 260 | 204 | 130 | Multiple dependent claim |
| 109 | 78 | 209 | 39 | **Reissue independent claims over original patent |
| 110 | 18 | 210 | 09 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee |
|-----------------------|-----------------------|-----------------------|-----------------------|--|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet |
| 139 | 130 | 139 | 130 | Non-English specification |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination |
| 112 | 920 | 112 | 920 | Requesting publication of SIR prior to Examiner action |
| 113 | 1,840 | 113 | 1,840 | Requesting publication of SIR after Examiner action |
| 115 | 110 | 215 | 55 | Extension for reply within first month |
| 116 | 380 | 216 | 190 | Extension for reply within second month |
| 117 | 870 | 217 | 435 | Extension for reply within third month |
| 118 | 1,360 | 218 | 680 | Extension for reply within fourth month |
| 128 | 1,850 | 228 | 925 | Extension for reply within fifth month |
| 119 | 300 | 219 | 150 | Notice of Appeal |
| 120 | 300 | 220 | 150 | Filing a brief in support of an appeal |
| 121 | 260 | 221 | 130 | Request for oral hearing |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding |
| 140 | 110 | 240 | 55 | Petition to revive unavoidably abandoned application |
| 141 | 1,210 | 241 | 605 | Petition to revive unintentionally abandoned application |
| 142 | 1,210 | 242 | 605 | Utility issue fee (or reissue) |
| 143 | 430 | 243 | 215 | Design issue fee |
| 144 | 580 | 244 | 290 | Plant issue fee |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications |
| 126 | 240 | 126 | 240 | Submission of Information Disclosure Stmt |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) |
| 146 | 690 | 246 | 345 | Filing a submission after final rejection (37 CFR 1.129(a)) |
| 149 | 690 | 249 | 345 | For each additional invention to be examined (37 CFR 1.129(b)) |

Other fee (specify)

Other fee (specify)

SUBTOTAL (3) (\$)

Reduced by Basic Filing Fee Paid

SUBMITTED BY

Typed or Printed Name

Nicholas J. Seay

Registration No. (Attorney/Agent)

27,386

Signature

Date

March 14, 2000

Complete (if applicable)

Telephone No.

608/251-5000